PTO-1390 (Rev. 07-2005)

Approved for useth range 3/3 /2007 6 MB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER **MATB-399US**

CON	CERNING A SUBMISSIO	To Be Assigned 7 (17 kpown, see 37 CFR 1.5)						
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 30.September.2004 (30.09.2004)		PRIORITY DATE CLAIMED 30.September.2003 (30.09.2003)						
	TITLE OF INVENTION MOLD FOR OPTICAL COMPONENTS							
APPLICANT(S) FOR DO/FO/US								
Andioan	_	ataoka et al.	O/US) the following items and other information:					
,		•						
	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. 🔽 -	This is an express request to begin nation (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items					
4.	The US has been elected (Article 31).							
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. is attached hereto (required only if not communicated by the International Bureau).							
	b. has been communicated by the International Bureau.							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.							
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).						
7.	Amendments to the claims of the Inte	ernational Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
	a. are attached hereto (required only if not communicated by the International Bureau).							
	b. have been communicated	by the International Bureau.						
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. have not been made and v	will not be made.						
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT					
Items	11 to 20 below concern document(s	s) or information included:						
11. 🗸	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.					
13.	A preliminary amendment.							
14.	An Application Data Sheet under 37 (CFR 1.76.						
15.	A substitute specification.							
16.	A power of attorney and/or change of	f address letter.						
17.	A computer-readable form of the sequence	uence listing in accordance with PCT Rule	13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published Interr	national Application under 35 U.S.C. 154(d)	(4).					
19.	A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).					
20.	Other items or information: Express	s Mail Cert Return Receipt Post	card, Unexecuted Dec/Poa (2 pgs), 2038					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER					
The Assigned 7 3 2 4 PCT/US2004/033055			MATB-399US CALCULATIONS PTO USE ONLY					
•	The following fees have been submitted				PTO USE ONLY			
21. 🚺 Basic natio	onal fee (37 CFR 1.492(a))	\$ 300						
If the written opinion proby IPEA/US indicate	n fee (37 CFR 1.492(c)) repared by ISA/US or the Interness all claims satisfy provisions o	\$ 200						
If the written opinion probable by IPEA/US indicate Search fee (37 CFR 1. an International Search Research Rese	e (37 CFR 1.492(b)) repared by ISA/US or the Internets all claims satisfy provisions of 44(a)(2)) has been paid on the arching Authority eport prepared by an ISA other cated to the US by the IB	\$ 400						
	*							
ТОТА	L OF 21, 22 and 23 =			\$ 900				
sequence listing of the fee is \$250 for	pecification and drawings filed in computer program listing filed in each additional 50 sheets of pacts. The sheets Number of each thereof (round up							
- 100 =	/50 =		× \$250	\$				
Surcharge of \$130.00 fo claimed priority date (37	or furnishing the oath or declara CFR 1.492(e)).	tion later than 30 months fr	om the earliest	\$				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total claims	99 - 20 =	79	× \$ 50	\$ 3,950				
Independent claims	10 -3=	7	× \$200	\$ 1,400				
	NT CLAIM(S) (if applicable)		+ \$360	\$ 360				
		TOTAL OF ABOV	E CALCULATIONS =	\$ 5,710				
Applicant claims si	mall entity status. See 37 CFR							
		\$ 6,610						
Processing fee of \$130 claimed priority date (3	0.00 for furnishing the English tr 57 CFR 1.492(i)).	\$						
		\$ 6,610						
•	enclosed assignment (37 CFR 1 er sheet (37 CFR 3.28, 3.31). \$4	\$						
by an appropriate cons			FEES ENCLOSED =	\$ 6,610				
		Amount to be						
				refunded:	\$			
				Amount to be charged:	\$ 6,610			
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 18-0350. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not								
be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed								
and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO:								
Customer Number		JUM						
	OR	igon						
Ratner Prestia, P	P.O. Box 980, Valley Forge, PA	igon /						
	O. Box 1596, Wilmington, DE							
		ON NUMBER						
Date: Febr	ruary 7, 2006	ON NUMBER						

10/567324

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Hidenao Kataoka et al. MATB-399US Group Art Unit Examiner Filing Date Serial No. Herewith To Be Assigned Invention: MOLD FOR OPTICAL COMPONENTS I hereby certify that the following correspondence: US National Phase and its enclosures (Identify type of correspondence) Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 7, 2006 Kathleen Libby (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 689863394 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.